Catalytic Reduction of 4-Nitrophenol by Gold Catalysts: The Influence of Borohydride Concentration on the Induction Time

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ABSTRACT: A comprehensive mechanistic framework is key to the effective utilization of model catalytic reactions. Although the reduction of 4-nitrophenol by borohydride has emerged as one of the most widely used model reactions for accessing the catalytic activity of nanostructures, there still exist knowledge gaps. The cause of the induction time, which is a period at the beginning of the reaction where no reaction seemingly occurs, has long been the subject of debate. Recent work provides compelling experimental evidence that links the induction time to the consumption of dissolved oxygen within the aqueous reactants and provides a mechanistic understanding based on a previously unknown side reaction. A concern has, however, been raised that the proposed mechanism is unable to account for prior work showing the induction time to be independent of the borohydride concentration. Here, a systematic study is presented that re-examines this dependency where reactions are simultaneously monitored using spectroscopy and an in situ dissolved oxygen probe. The dependency is shown to be far more involved than prior studies suggest because it varies with the amount of catalyst added. The understanding obtained is consistent with the previously proposed mechanism for the induction time and resolves perceived inconsistencies with earlier work.

INTRODUCTION

The catalytic reduction of 4-nitrophenol (4-NP) to 4-aminophenol (4-AP) by borohydride (BH₄⁻) has emerged as an important model reaction for assessing the catalytic activity of nanostructures in aqueous media.¹−³ Much of its significance stems from the ease at which the reaction is monitored in real time through spectroscopic means, showing both a decay in the prominent 400 nm 4-nitrophenolate (4-NP⁻) absorbance peak and the emergence of a 300 nm peak associated with the 4-AP product. It is also ideal from a mechanistic standpoint in that no reaction occurs unless a catalyst is present and the rate-limiting process is the turnover that occurs on the catalyst surface.¹ Since its demonstration as a model reaction by Pal et al.⁴ and Esumi et al.,⁵ 4-NP reduction has proved crucial in drawing attention to the extraordinary catalytic activity of noble metal nanostructures.⁶

With nitrophenols being commonly used in the synthesis of dyes, paints, corrosion inhibitors, pesticides, herbicides, analgesic and antipyretic drugs, and wood preservatives, their release into wastewater is also a growing environmental concern.⁷ It diﬀers from the Langmuir–Hinshelwood model in that only one of the reactant molecules is absorbed on the catalyst surface whereas the other reacts through collision (i.e., it is not coadsorbed).
A key characteristic of the 4-NP reaction is the induction time that is frequently observed at the beginning of the reaction where little to no turnover of 4-NP is observed. Once the induction time ends, the reaction seemingly “turns on” and proceeds to completion. The origin of the induction time has been a highly debated subject where numerous mechanisms have been proposed. Early studies using Ag nanoparticle catalysts revealed that the induction time could be lessened or even eliminated if the aqueous reactants were purged with N2 gas. This led the authors to postulate that the induction time was the time needed for BH4\(^-\) to remove an oxide layer from the Ag surface, but this was subsequently ruled out when nonoxidizable catalysts (e.g., Au, Pt) also showed induction times. It was then suggested that dissolved oxygen outcompetes 4-NP for the hydrogen species derived from BH4\(^-\), a process that would continue until the dissolved oxygen was consumed. This explanation, however, gained little traction in the literature. Another study, which showed exceptionally high catalytic activity from Au-based nanocages, attributed the induction period to the time necessary for 4-NP to bind to the surface of the catalyst. Wunder et al. attributed the induction period to the time required for catalyst surface reconstruction caused by the attachment of reactants that leads to its activation. Their argument was supported by kinetic parameters as well as corroborative studies on resazurin reduction by Chen and co-workers. The mechanism was also appealing in that it explained why no induction time is observed for a reused catalyst. Additionally, photoluminescence spectra of nanoparticles taken before and after the reaction showed no indication that the nanoparticles had undergone a surface reconstruction. Another proposed mechanism for the induction period is the time required for borohydride to inject electrons into the catalyst so as to increase the Fermi energy and, in doing so, lower the reduction potential to a value that allows the reaction to proceed. We have since carried out a series of studies that demonstrate the severe disruptions that dissolved oxygen within the aqueous reactants have on the catalytic reduction of 4-NP, among which is the occurrence of the induction time. A clear connection between the induction time and the dissolved oxygen content was demonstrated through the in situ monitoring of the dissolved oxygen content during 4-NP reduction. It was shown that dissolved oxygen is consumed during the reaction and that the induction time ends once a critical concentration of dissolved oxygen in solution is achieved. It was further demonstrated that the addition of...
dissolved oxygen to the reactants using an O₂ gas purge could extend the induction period indefinitely or even induce a second induction period if a small amount of oxygen was added midway through the reaction. These results provided compelling evidence that dissolved oxygen is the fundamental cause of the induction time and its consumption by BH₄⁻ determines its duration. Ballauff and co-workers have, however, recently asserted that our proposed mechanism is inconsistent with their findings that show the induction time to be independent of BH₄⁻ concentration, their argument being that if BH₄⁻ consumes oxygen, then a higher concentration should consume it more rapidly and, hence, give rise to a shorter induction time. Recognizing this as a valid argument, we have undertaken a systematic study that re-examines this dependency. We show that there is, in fact, a dependence of the induction time on the BH₄⁻ concentration, but where, under certain experimental conditions, the induction time is nearly independent of BH₄⁻ concentration. The results are shown to be consistent with the prior work and further validate our proposed mechanism for the induction time.

**RESULTS AND DISCUSSION**

Figure 1a shows a schematic of the experimental setup used to measure the rate of 4-NP reduction while simultaneously monitoring the dissolved oxygen content within the aqueous reactants. In a typical experiment, a 2.5 cm path length cuvette containing a solution of 4-NP is inserted into the optical path of a UV–vis spectrophotometer. The cuvette is then sealed with Parafilm through which an Ar purge line is inserted that flushes away the air over the liquid surface so as to prevent additional oxygen from entering the liquid phase. A small puncture is created in the Parafilm to provide an exhaust for the Ar gas. Additionally, a dissolved oxygen probe is inserted through the center of the Parafilm such that it is positioned just above the spectrometer beam while maintaining a tight seal. The reaction is initiated by injecting aqueous NaBH₄ followed by the catalyst of interest through the hole in the Parafilm as both the dissolved oxygen content and spectroscopic signal are continuously monitored. Figure 1b shows a time series of absorbance spectra obtained using 20 mL of aqueous 4-NP and BH₄⁻ with starting concentrations of 50 μM and 20 mM, respectively. Spherical Au nanoparticles (0.108 μM) with a mean diameter of 4 nm, which were synthesized using the procedure devised by Deraedt et al., were used as catalysts. The time series shows that catalytic turnover results in a steadily diminishing 400 nm 4-NP absorbance peak as the 300 nm 4-AP absorbance peak emerges and gains strength. In studies of the induction time, it is, however, more effective to continuously monitor just the 400 nm 4-NP absorbance as opposed to the entire spectral range. Figure 1c shows representative data for two reactions where the rapid onset of the reaction proceeds after induction times of 80 and 110 s, as denoted by the dashed lines on the figure. Figure 1d shows data simultaneously collected during these same reactions using the dissolved oxygen probe. Together, the two datasets allow the induction time to be correlated to a specific dissolved oxygen concentration referred to as the critical value ($C_{cr}$) (see dashed lines in Figure 1c,d).

With the understanding that there exists a strong correlation between the induction time and the dissolved oxygen content, we proposed a mechanism that explains the induction time in terms of a secondary side reaction (Figure 2). In this catalytically driven reaction, the 4-NP ion (i.e., nitrophenolate) is first adsorbed onto the catalyst where it is then reduced to 4-AP (or 4-aminophenolate) by a hydrogen species derived from BH₄-. The overall process sees two oxygen atoms on the 4-NP ion replaced with two hydrogen atoms to yield the 4-AP product. The 4-AP, once generated, is rapidly desorbed from the surface. At this stage, the 4-AP is subject to a side reaction that sees it transformed back into 4-NP due to an interaction with dissolved O₂ within the aqueous solution, a process that sees the two hydrogen atoms on the 4-AP molecule replaced with two oxygen atoms. The 4-NP, produced in this manner, can then reabsorb onto the catalyst surface. The side reaction, hence, acts in opposition to the forward reaction occurring on the catalyst surface and will continue as long as dissolved oxygen is available in sufficient quantities. If the side reaction is rapid, then 4-NP reduction, from a spectroscopic perspective, appears stalled even though it is occurring at a rate determined by the catalyst. With time, however, the side reaction becomes nullified because the dissolved oxygen is being consumed through a reaction with BH₄⁻ that yields H₃BO₃ and H₂O as its products. When this occurs, 4-NP reduction, as monitored by the spectroscopic probe, becomes detectable at which point the induction time ends and the reaction continues until all 4-NP is consumed. This mechanism, as Ballauff and co-workers correctly assert, necessitates a correlation between the induction time and the BH₄⁻ concentration, since higher concentrations will consume the dissolved oxygen at a faster rate. It should be noted that no induction time is observed if dissolved oxygen is removed from both the reactants and catalyst-containing colloid prior to the reaction by purging these solutions with Ar or N₂ gas.

To obtain a fundamental understanding of the influence of the BH₄⁻ concentration on the induction time, a systematic study was first carried out that characterized the time evolution of the dissolved oxygen concentration both in the presence and absence of a catalyst for solutions of aqueous BH₄⁻ (i.e., 4-NP is absent) where the starting concentration of BH₄⁻ in the solution was systematically varied. Figure 3a shows a schematic of the experimental setup used in which an in situ probe measures the dissolved oxygen concentration whereas an Ar gas inlet is used to maintain an inert atmosphere over the solution so as to prevent the uptake of additional O₂. The Ar exhaust was also used as an opening through which concentrated BH₄⁻ was injected into deionized (DI) water having an initial dissolved oxygen concentration of 8.3 mg L⁻¹ (i.e., ambient value). The experiment proceeded by placing deionized (DI) water in the sealed cuvette with the dissolved...
oxygen probe. After the air above the liquid surface was flushed away with Ar, concentrated BH₄⁻ was injected into the water and the dissolved oxygen content was monitored. For experiments using Au nanoparticles, the particles were injected immediately after BH₄⁻ was added. Data was collected for BH₄⁻ concentrations ranging from 2 to 20 mM using two different concentrations of Au nanoparticles (0.108 and 1.666 μM).

Figure 3b shows the time dependence of the dissolved oxygen concentration when various concentrations of BH₄⁻ are added to DI water in the absence of Au nanoparticles. As expected, higher concentrations of BH₄⁻ lead to a more rapid fall in the dissolved oxygen concentration. For all cases, the removal of dissolved oxygen is slow, where even the highest concentrations of BH₄⁻ take well over 1000 s to reduce the dissolved oxygen concentration to values near the detectability limit. Noteworthy is that these values greatly exceed the duration of induction times that are typical in the reduction of 4-NP. Figure 3c,d show the time dependence obtained when the same experiments are carried out in the presence of Au nanoparticles with concentrations of 0.108 and 1.666 μM, respectively. In the case when no Au nanoparticles were used, higher concentrations of BH₄⁻ led to a more rapid fall in the dissolved oxygen concentration. In stark contrast, however, are the times required for the dissolved oxygen concentrations to fall to the detectability limit, where in all cases, more than a 10-fold reduction is observed. A comparison of the datasets for the two Au nanoparticle concentrations (Figure 3c,d) further reveals that the consumption of dissolved oxygen is far more rapid when more Au nanoparticles are present. Moreover, a far

Figure 3. (a) Schematic of the experimental setup used to measure the time dependence of the dissolved oxygen concentration of aqueous BH₄⁻. (b) The time dependence of the dissolved oxygen concentration for solutions of aqueous BH₄⁻ with concentrations ranging from 2 to 20 mM. Data from identical experiments but where Au nanoparticles are added at concentrations of (c) 0.108 μM and (d) 1.666 μM. Note that the timescale on the horizontal axis is significantly reduced for (c)–(d).

Figure 4. (a) Induction time versus BH₄⁻ concentration for small (red), medium (yellow), and large (green) amounts of catalyst and (b) the corresponding time to reach the critical value of dissolved oxygen.
weaker dependency on BH₄⁻ concentration is observed when Au nanoparticles are present in high concentrations, where it is even difficult to distinguish the differences between the various BH₄⁻ concentrations (Figure 3d). These results clearly demonstrate that the rate of consumption of dissolved oxygen can be increased dramatically if the reaction with BH₄⁻ is catalytically driven, where the use of large amounts of catalyst results in the near elimination of its dependency on the BH₄⁻ concentration.

The observed rate dependency of oxygen removal on the BH₄⁻ and catalyst concentration should have direct consequences on the duration of the induction time according to the mechanism described in Figure 2. If the dissolved oxygen within the aqueous solution gives rise to an induction time, then it follows that the induction time should show similar dependencies on the BH₄⁻ concentration and on the amount of catalyst added. To test this hypothesis, experiments were carried out using 50 μM 4-NP where the BH₄⁻ concentration was systematically varied from 2 to 30 mM for three different amounts of 4 nm diameter Au catalysts (0.108, 0.216, and 0.542 μM) that are henceforth referred to as small, medium, and large amounts. For all cases, the starting dissolved oxygen concentration for the DI water was the ambient value of 8.3 mg L⁻¹. The data was collected using the experimental setup described in Figure 1a.

Figure 4a shows plots of the induction time as a function of the BH₄⁻ concentration when small, medium, and large amounts of catalyst are used. During each of these experiments, the dissolved oxygen concentration was monitored and the critical value of the oxygen content was determined. Figure 4b shows plots of the time needed to reach the critical value for each of the catalyst amounts. These results indicate that there is indeed a dependency of the induction time on the BH₄⁻ concentration, but that it is strongly influenced by the amount of catalyst with small amounts exacerbating the dependency and large amounts diminishing it. Additionally, the induction time dependencies are strongly correlated with the dissolved oxygen content within the solution, as evident by the fact that the same characteristic behavior is observed for Figure 4a,b. The most dramatic dependency of the induction time on the BH₄⁻ concentration is observed for small amounts of catalyst with induction times rapidly rising from a plateau of approximately 200 s at high concentrations to values nearing 1100 s at low concentrations. As the amount of catalyst is increased to medium and high amounts, the induction time diminishes and its dependency on the BH₄⁻ concentration lessens as the extent of the plateau region steadily increases. The rise in induction time from the plateau value is even difficult to discern when large amounts of catalyst are used. This loss of BH₄⁻ dependency is further exemplified by the ratio of the induction time for the lowest BH₄⁻ concentration (i.e., 2 mM) to the plateau value, yielding approximately 6.75, 3.33, and 1 for the small, medium, and large amounts of catalyst, respectively.

Taken together, these results indicate that the induction time observed for the catalytic reduction of 4-NP exhibits a BH₄⁻ concentration dependence that is far more involved than prior work suggests. Such complications stem from the fact that BH₄⁻ acts both as a 4-NP reducing agent and as a scavenger of dissolved oxygen within the aqueous reactants where the presence of a catalyst strongly influences its effectiveness in both roles. There are, in essence, two reaction pathways by which dissolved oxygen can be scavenged by BH₄⁻; one is catalytically driven and the other is not. In the absence of a catalyst, the removal rate of dissolved oxygen is slow and strongly influenced by the BH₄⁻ concentration. When a catalyst is present in sufficient quantities, however, the dissolved oxygen is rapidly scavenged through a catalytically driven reaction. In this scenario, the amount of catalyst becomes the rate-limiting factor in the removal of dissolved oxygen and, as such, variations to the BH₄⁻ concentration have little influence on the rate at which dissolved oxygen is consumed. Although the dissolved oxygen is still being consumed through the catalyst-free pathway, its much slower rate means that its contribution to the overall loss of dissolved oxygen is negligible. As the quantity of catalyst is reduced, the catalyst and catalyst-free reactions are both responsible for the removal of significant quantities of dissolved oxygen. In this scenario, there exists a dependence on the BH₄⁻ concentration due to the catalyst-free component but it is not as severe as when no catalyst is present.

According to our proposed mechanism, there is a close connection between the induction time observed in the reduction of 4-NP and the loss of dissolved oxygen within the aqueous solution. It is, thus, entirely consistent that the dependence of the induction time follows the same dependencies as on the BH₄⁻ concentration and the amount of catalyst added. Moreover, these results are consistent with the prior work of Wunder et al., showing the induction time to be independent of the BH₄⁻ concentration because the study was carried out in a regime where large amounts of catalyst were used. Their work is, in fact, equivalent to the result presented in Figure 4a that shows the induction time to be independent of the BH₄⁻ concentration (i.e., the green curve). Also supportive of our proposed mechanism are the findings of several recent reports. Harrison et al. report that the induction time for their Au—polymer nanocomposite catalyst can be reduced by several orders of magnitude if borohydride is allowed to equilibrate for 30 min, a result that can be attributed to the slow consumption of dissolved oxygen. Similarly, Chakraborty et al. report the absence of an induction time when 4-NP and NaBH₄ are initially mixed and left to sit for an extended time before adding the catalyst. Also noteworthy is that Villarreal et al. observed an induction time in the desorption of 4-aminothiophenol (4-ATP) from Au nanoparticles. This induction time, which they attribute to dissolved oxygen, also shows a duration that diminishes as the BH₄⁻ concentration increased.

### CONCLUSIONS

In summary, we have re-examined the dependence of the induction time on the BH₄⁻ concentration for the model catalytic reaction that sees 4-NP reduced to 4-AP by BH₄⁻. The induction time is shown to be nearly independent of the BH₄⁻ concentration when sufficient amounts of catalysts are used. When less catalyst is used, the induction time shows a dependence on the BH₄⁻ concentration that becomes increasingly exaggerated as the amount of catalyst is further reduced. In situ monitoring of the dissolved oxygen concentration confirms that the induction time observed in the reduction of 4-NP and the loss of dissolved oxygen within the aqueous reactants are, principally, measurements of the same phenomenon. As such, the rate at which BH₄⁻ scavenges dissolved oxygen determines the length of the induction time. The rate is shown to be either nearly independent or strongly dependent on the BH₄⁻ concentration depending on whether a
large or small amount of catalyst is used, an outcome that is decided on the basis of whether the consumption of dissolved oxygen by BH$_4^-$ occurs on the catalyst surface or in the adjacent liquid media. The study, therefore, adds to the mechanistic understanding of this important model reaction and further validates our proposed mechanism for the induction time.

**METHODS**

**Chemicals.** Tetrachloroaurate(III) trihydrate (HAuCl$_4$·3H$_2$O) was purchased from Sigma-Aldrich. Sodium borohydride (NaBH$_4$) and 4-nitrophenol were sourced from Fluka. All aqueous solutions were prepared using deionized (DI) water derived from a Milli-Q system (18.2 MΩ·cm at 25 °C). Gas purging of the reagents and catalysts utilized ultrahigh purity Ar.

**Au Nanoparticle Synthesis.** Colloidal Au nanoparticles were prepared using a method similar to that described by Deraedt et al., in which Au$^{3+}$ ions derived from HAuCl$_4$ are reduced by BH$_4^-$. Once formed, BH$_4^-$ then acts to stabilize the nanoparticles against aggregation. The synthesis is ideal for this mechanistic study because no new reagents are introduced when adding the catalyst since BH$_4^-$ is also used to reduce 4- NP. For this synthesis, aqueous BH$_4^-$ (13 mM) is formed by adding NaBH$_4$ powder to water that has been purged with Ar gas for 15 min. As the powder dissolves, minimal agitation is used to limit the uptake of oxygen. Once dissolved, 1 mL of the solution was added to 9 mL of aqueous HAuCl$_4$ (144 μM) that had also undergone a 15 min Ar gas purge. Upon addition, an immediate color change from clear to purple was observed, indicating Au nanoparticle formation. The resulting colloidal solution was then purged with Ar for an additional 5 min before being diluted to the desired level and used in catalysis measurements. Batch to batch consistency in both nanoparticle size and catalytic activity could only be achieved if a consistent rate of injection was used when adding the aqueous NaBH$_4$ to the HAuCl$_4$ solution. Further characterization of the Au nanoparticle catalysts derived from BH$_4^-$ reduction is described elsewhere.

**Monitoring the Loss of Dissolved Oxygen in Aqueous NaBH$_4$.** Figure 3a shows the experimental configuration used to monitor the loss of dissolved oxygen in aqueous NaBH$_4$. A 4 mL solution of aqueous NaBH$_4$ with 5× the desired molarity was prepared. During its dissolution, the NaBH$_4$ was only slightly agitated to minimize the uptake of oxygen. The NaBH$_4$ solution was then added to a Parafilm-sealed cuvette containing 16 mL of DI water with a dissolved oxygen content of 8.3 mg·L$^{-1}$ (i.e., the ambient value) into which a dissolved oxygen probe (Vernier Software and Technology—Beaverton, OR) and an Ar gas purge line were inserted. In this manner, the time dependence of the oxygen content was measured for starting BH$_4^-$ concentrations of 2, 3, 5, 10, 15, and 20 mM. The same BH$_4^-$ concentrations were then used to carry out identical experiments into which 0.108 and 1.666 μM Au catalysts were added (Figure 3c,d). For all experiments, the timing of the preparation and addition of chemicals was kept consistent to promote reproducibility.

**4-NP Catalysis.** All 4-NP catalysis measurements were carried out using the experimental configuration shown in Figure 1a. The experiments utilized a Jasco V-730 spectrophotometer whose sample compartment was retrofitted with sample holders able to support 38 mL Borofloat 33 floated borosilicate flat glass cuvettes with a 2.5 cm path length (Specialty Glass Products—Willow Grove, PA). For each reaction, three 8 mL solutions were prepared: (i) 150 μM 4-NP, (ii) NaBH$_4$ (6, 9, 12, 15, 30, 45, 60, 75 or 90 mM), and (iii) Au catalysts (0.324, 0.648, or 1.626 μM). All three solutions utilized DI water with the ambient dissolved oxygen content, but for the case of the Au catalyst, the stock solution was deoxygenated. Reactions were initiated by sequentially injecting 4-NP, NaBH$_4$, and catalyst solutions into the cuvette where, once again, the timing was kept consistent to promote reproducibility. The final reaction solution, therefore, contained (i) 50 μM 4-NP, (ii) 0.108, 0.216, or 0.542 μM Au nanoparticles and (iii) NaBH$_4$ at concentrations ranging from 2 to 30 mM. It should be noted that the absorbance of the Au catalyst due to its plasmon resonance is negligible for wavelengths near the 400 nm 4-NP peak for the catalyst concentrations used.

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